

RULES (CRITERIA)	PLAN OF CORRECTION	Completion Date
<input checked="" type="checkbox"/> §11-100.1-9 Personnel, staffing and family requirements. (a) All individuals who either reside or provide care or services to residents in the Type I ARCH, shall have documented evidence that they have been examined by a physician prior to their first contact with the residents of the Type I ARCH, and thereafter shall be examined by a physician annually, to certify that they are free of infectious diseases. <u>FINDINGS</u> Employee #1 – No annual physical exam clearance. Annual physical exam form states, “Is the individual able to cope with the responsibilities of caring for elderly and disabled persons?” The physician checked, “No.”	<p style="text-align: center;">PART 1</p> <p style="text-align: center;"><u>DID YOU CORRECT THE DEFICIENCY?</u></p> <p style="text-align: center;">USE THIS SPACE TO TELL US HOW YOU CORRECTED THE DEFICIENCY</p> <p style="text-align: center;">Physical exam was done on 12/05/2019 by attending MD.</p> <p style="text-align: center;">12/05/2019</p>	<p style="text-align: center;">12/05/2019</p>

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<input checked="" type="checkbox"/> §11-100.1-9 <u>Personnel, staffing and family requirements.</u> (b) All individuals who either reside or provide care or services to residents in the Type I ARCH shall have documented evidence of an initial and annual tuberculosis clearance. <u>FINDINGS</u> Employee #2 – No annual tuberculosis clearance.	<p style="text-align: center;"><u>DID YOU CORRECT THE DEFICIENCY?</u></p> <p style="text-align: center;">PART 1</p> <p style="text-align: center;">USE THIS SPACE TO TELL US HOW YOU CORRECTED THE DEFICIENCY</p> <p>Employee #2 forgot to turn in the form after TB skin test was completed on 7/15/2019. Employee #2 submitted TB test result on 12/05/2019.</p>	<p style="text-align: center;">12/05/2019</p>

RECEIVED
 STATE OF NEW YORK
 DEPARTMENT OF
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 ALBANY
 DEC 11 2019

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<input checked="" type="checkbox"/> §11-100.1-15 Medications. (e) All medications and supplements, such as vitamins, minerals, and formulas, shall be made available as ordered by a physician or APRN. FINDINGS Resident #1 – Physician order on 3/22/2019 for Furosemide states, “Hold for SBP <110.” April medication administration record (MAR) states, “Hold for SBP <100.” Parameter incorrect on MAR.	<p style="text-align: center;">PART 1</p> <p>Order clarification was made with PCP on 12/05/2019.</p> <p style="text-align: center;">Correcting the deficiency after-the-fact is not practical/appropriate. For this deficiency, only a future plan is required.</p>	<p style="text-align: center;">12/05/2019</p>

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<input checked="" type="checkbox"/>	<p>§11-100.1-15 Medications. (e) All medications and supplements, such as vitamins, minerals, and formulas, shall be made available as ordered by a physician or APRN.</p> <p>FINDINGS Resident #1 – Physician order on 3/22/2019 for Furosemide states, "Hold for SBP <110." April medication administration record (MAR) states, "Hold for SBP <100." Parameter incorrect on MAR.</p>	<p align="center">PLAN OF CORRECTION</p> <p align="center">PART 2</p> <p align="center"><u>FUTURE PLAN</u></p> <p align="center">USE THIS SPACE TO EXPLAIN YOUR FUTURE PLAN: WHAT WILL YOU DO TO ENSURE THAT IT DOESN'T HAPPEN AGAIN?</p> <p>As soon as upcoming POS, MAR, and TAR arrives from Pharmacia (25th of every month), the night nurse will review and update the MAR and TAR. Attending MD will review the following month's POS and sign the document to confirm review. The last day of the month, two (2) RN's will check current POS, MAR, and TAR. One (1) RN reads the current POS while the second RN follows along and compares the notes as read to the following month's POS to make sure all orders are carried through to the following month as well as confirm the MAR and the TAR. This will ensure that the orders are carried through and consistent.</p>	<p align="center">12/05/2019 ongoing</p>

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<input checked="" type="checkbox"/> §11-100.1-15 Medications. (c) All medications and supplements, such as vitamins, minerals, and formulas, shall be made available as ordered by a physician or APRN. FINDINGS Resident #1 – Memantine dose on October MAR = 14 mg. Last order available from 8/29/2019 states Memantine dose as 7 mg. MAR and order do not match.	<p style="text-align: center;">PART 1</p> <p style="text-align: center;"><u>DID YOU CORRECT THE DEFICIENCY?</u></p> <p style="text-align: center;">USE THIS SPACE TO TELL US HOW YOU CORRECTED THE DEFICIENCY</p> <p>The order had been changed from 7 mg. to 14 mg. POS dated on 09/19/2019 was in the file.</p>	<p style="text-align: center;">09/19/2019</p>

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<input checked="" type="checkbox"/> §11-100.1-15 <u>Medications.</u> (e) All medications and supplements, such as vitamins, minerals, and formulas, shall be made available as ordered by a physician or APRN. FINDINGS Resident #1 – Mennantine dose on October MAR = 14 mg. Last order available from 8/29/2019 states Mennantine dose as 7 mg. MAR and order do not match.	<p style="text-align: center;">PART 2 <u>FUTURE PLAN</u></p> <p style="text-align: center;">USE THIS SPACE TO EXPLAIN YOUR FUTURE PLAN: WHAT WILL YOU DO TO ENSURE THAT IT DOESN'T HAPPEN AGAIN?</p> <p>Continuously focus on the end of the month transition POS, MAR, and TAR's (from current to upcoming) to ensure all orders are transcribed onto the upcoming MAR and TAR correctly. Files will be organized or thinned to maintain relevant information in the patient file by date. Each quarter a nurse will be assigned to review and manage the file, with a second nurse reviewing the file to make sure files are in order by date. The PCG will check folders biannually to ensure that all patient files are correctly ordered.</p>	ongoing

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<input checked="" type="checkbox"/> §11-100.1-15 Medications. (c) All medications and supplements, such as vitamins, minerals, and formulas, shall be made available as ordered by a physician or APRN. <u>FINDINGS</u> Resident #1 – Tradjenta found in medication cart for resident; however, no order for medication found. Per MAR, medication ordered on 9/11/2019.	<p style="text-align: center;">PART 1</p> <p style="text-align: center;"><u>DID YOU CORRECT THE DEFICIENCY?</u></p> <p style="text-align: center;">USE THIS SPACE TO TELL US HOW YOU CORRECTED THE DEFICIENCY</p> <p>The written order (POS dated 9/11/2019) was misfiled and found on 12/04/19.</p>	12/04/2019

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<input checked="" type="checkbox"/> §11-100.1-15 Medications. (e) All medications and supplements, such as vitamins, minerals, and formulas, shall be made available as ordered by a physician or APRN. FINDINGS Resident #1 – Tradjenta found in medication cart for resident; however, no order for medication found. Per MAR, medication ordered on 9/11/2019.	<p style="text-align: center;">PART 2 <u>FUTURE PLAN</u></p> <p style="text-align: center;">USE THIS SPACE TO EXPLAIN YOUR FUTURE PLAN: WHAT WILL YOU DO TO ENSURE THAT IT DOESN'T HAPPEN AGAIN?</p> <p>Continuously focus on the end of the month transition POS, MAR and TAR's (from current to upcoming) to ensure all orders are transcribed onto upcoming MAR and TAR correctly. Files will be organized or thinned to maintain relevant information in the patient file by date. Each quarter a nurse will be assigned to review and manage the file, with a second nurse reviewing the file to make sure files are in order by date. The PCG will check folders biannually to ensure that all patient files are correctly ordered.</p>	<p style="text-align: center;">Ongoing</p>

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<input checked="" type="checkbox"/> §11-100.1-15 Medications. (e) All medications and supplements, such as vitamins, minerals, and formulas, shall be made available as ordered by a physician or APRN. FINDINGS Resident #2 – Acetaminophen found in medication cart for resident; however, no order for medication found.	<p style="text-align: center;">PART 1</p> <p style="text-align: center;"><u>DID YOU CORRECT THE DEFICIENCY?</u></p> <p style="text-align: center;">USE THIS SPACE TO TELL US HOW YOU CORRECTED THE DEFICIENCY</p> <p style="text-align: center;">The medication was removed from the medication cart on 12/03/2019.</p>	12/03/2019

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<input checked="" type="checkbox"/>	<p>§11-100.1-17 Records and reports. (b)(3) During residence, records shall include:</p> <p>Progress notes that shall be written on a monthly basis, or more often as appropriate, shall include observations of the resident's response to medication, treatments, diet, care plan, any changes in condition, indications of illness or injury, behavior patterns including the date, time, and any and all action taken. Documentation shall be completed immediately when any incident occurs;</p> <p><u>FINDINGS</u> Resident #2 – Monthly progress notes do not include observations of the resident's response to medications or diet.</p>	<p style="text-align: center;">PART 2</p> <p style="text-align: center;"><u>FUTURE PLAN</u></p> <p style="text-align: center;">USE THIS SPACE TO EXPLAIN YOUR FUTURE PLAN: WHAT WILL YOU DO TO ENSURE THAT IT DOESN'T HAPPEN AGAIN?</p> <p>Re-educated nursing staff of the need to document resident's response to Medication and Diet on the monthly progress note and chart. On the 20th of every month, PCG and the assigned nurse will review the monthly progress notes together. PCG will check the documentation with the assigned nurse and case manager to ensure there is observation records on the progress notes and resident's charts. If there is a deficiency, assigned nurse or case manager will review with documenting nurse. This plan will ensure that this will not happen again.</p>	<p>Ongoing</p>

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<input checked="" type="checkbox"/> §11-100.1-88 <u>Case management qualifications and services.</u> (c)(2) Case management services for each expanded ARCH resident shall be chosen by the resident, resident's family or surrogate in collaboration with the primary care giver and physician or APRN. The case manager shall: Develop an interim care plan for the expanded ARCH resident within forty eight hours of admission to the expanded ARCH and a care plan within seven days of admission. The care plan shall be based on a comprehensive assessment of the expanded ARCH resident's needs and shall address the medical, nursing, social, mental, behavioral, recreational, dental, emergency care, nutritional, spiritual, rehabilitative needs of the resident and any other specific need of the resident. This plan shall identify all services to be provided to the expanded ARCH resident and shall include, but not be limited to, treatment and medication orders of the expanded ARCH resident's physician or APRN, measurable goals and outcomes for the expanded ARCH resident; specific procedures for intervention or services required to meet the expanded ARCH resident's needs; and the names of persons required to perform interventions or services required by the expanded ARCH resident; FINDINGS Resident #1 – Nutrition care plan was not developed for resident with wounds, on special diet (renal, consistent carbohydrate, 2 gm sodium, low potassium, low fat/low cholesterol) and on nutritional supplementation (Nepro and Rena-Vite).	<p style="text-align: center;">PART 1</p> <p style="text-align: center;"><u>DID YOU CORRECT THE DEFICIENCY?</u></p> <p style="text-align: center;">USE THIS SPACE TO TELL US HOW YOU CORRECTED THE DEFICIENCY</p> <p>On 12/10/2019, nutrition care plan was developed by assigned nurse and case manager.</p>	12/10/2019

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Licensee's/Administrator's Signature: 

Print Name: Takemi Seamen _{Rv}

Date: 3/19/2020

STATE OF CALIFORNIA
DIVISION OF
STATE LICENSING

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